



Visible Infrared Imaging Radiometer Suite (VIIRS) Land Surface Temperature and Emissivity Product (VNP21) User Guide Collection 1

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Note:

The primary purpose of this User Guide is to provide an overview of the new VIIRS Land Surface Temperature and Emissivity (LST&E) product (VNP21) to potential users. For more algorithm-specific details, please consult the Algorithm Theoretical Basis Document (ATBD). This User Guide is designed to serve as a living document that describes the current state-of-the-art, and is revised as we evaluate and further develop the LST&E product.

Change History Log

| Revision | Effective Date | Modifications by | Description of Changes |
|-------------|----------------|----------------------------------|---|
| First Draft | 12/06/2016 | Glynn Hulley, Robert Freepartner | First draft of User Guide C1 for VNP21 products based on the MxD21 product |
| Draft | 5/25/2017 | Glynn Hulley | Updated to include detail of NRT processing with NCEP GFS data |
| Draft | 06/29/2017 | Glynn Hulley | Minor edits to fix mismatches between User Guide metadata and product metadata |
| Draft | 08/15/2017 | Glynn Hulley | Incorporation of feedback from Bhaskar and Sadashiva from MODAPS. Updates to references |
| Draft | 05/08/2018 | Glynn Hulley | Change LST valid max range from 20000 to 65535 to be consistent with MODIS. Included metadata field to signify which NWP data source (MERRA2 or NCEP) was used. |
| Draft | 05/23/2018 | Glynn Hulley, Robert Freepartner | Added 'NWPSource' to metadata |
| Draft | 07/24/2018 | Robert Freepartner | Corrections to Fill Values in 2.2 |
| Draft | 08/20/2018 | Robert Freepartner | Corrections to metadata details |
| Draft | 09/05/2018 | Glynn Hulley | Minor correction to metadata (MMD) and typos |
| Final | 11/2/2018 | Jaime Nickeson Glynn Hulley | Typos, clarity, other editing. Included 'lunar intrusion' as reason for missing/bad L1B in QC |

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1 Introduction

The NASA Suomi-National Polar-orbiting Partnership (S-NPP) Visible Infrared Imaging Radiometer Suite (VIIRS) is a scanning radiometer that collects radiometric measurements in the visible and infrared spectrums emphasizing global observations of the land, atmosphere, cryosphere, and oceans. VIIRS is one of five instruments onboard S-NPP, and will also be included on payloads for future Joint Polar Satellite System (JPSS) satellite missions.

The VIIRS Land Surface Temperature and Emissivity (LST&E) algorithm and data products (VNP21) in Collection 1 (C1) are developed synergistically with the Moderate Resolution Imaging Spectroradiometer (MODIS) Collection 6 (C6) LST&E algorithms and data products (MXD21) using the same algorithmic approach and input atmospheric products (Islam et al. 2017; Malakar and Hulley 2016). The overall objective for NASA VIIRS C1 products is to make the algorithms and products compatible with the C6 MODIS Terra and Aqua algorithms in order to ensure data product continuity and enable development of consistent, long term, and well characterized climate data records (CDR) from NASA's EOS satellites to the JPSS platforms. A long, stable record of LST is critical for monitoring climate trends, reducing systematic biases in land surface models, and is particularly useful for model evaluation in regions where few in situ measurements of surface air temperatures exist. Current differences between the NASA MOD21 C6 and the VNP21 C1 LST&E algorithms originate only from the physical differences between the MODIS and VIIRS instruments such as spatial resolution, band locations, and instrument noise. Analysis has shown that these differences at the <0.5 K level in retrieved surface temperature for a wide range of land surface and atmospheric conditions as illustrated in Figure 1 (Islam et al. 2017). The NASA VIIRS LST&E data products are produced in the NASA Land Science Investigator-led Processing System (LSIPS) and use a substantially different algorithm approach than the LST data products generated in the NOAA- Interface Data Processing Segment (IDPS) that were based on a split-window algorithm approach. The LSIPS is the NASA equivalent of the Land Product Evaluation and Analysis Tool Element (LPEATE) which had the task of generating and evaluating algorithms and products generated with IDPS algorithms. The LSIPS is currently receiving and beginning to produce and distribute the NASA VIIRS data products.

The NASA VNP21 LST&E product uses a physics-based algorithm to dynamically retrieve both the LST and emissivity simultaneously for the three VIIRS thermal infrared bands M14 (8.55 μm), M15 (10.76 μm), and M16 (12 μm) at a spatial resolution of 750 m at nadir. The VNP21 algorithm is based on the Advanced Spaceborne Thermal Emission and Reflection Radiometer (ASTER) Temperature Emissivity Separation (TES) algorithm which is currently used to produce the new MOD21 LST&E product in MODIS Collection 6. TES uses full radiative transfer simulations for the atmospheric correction, and an emissivity model based on the variability in the surface radiance data to dynamically retrieve both LST and spectral emissivity at native pixel resolution. The TES algorithm is combined with an improved Water Vapor Scaling (WVS) atmospheric correction scheme to stabilize the retrieval during very warm and humid conditions. Simulations and validation results available in the ATBD have shown consistent accuracies at the 1 K level over all land surface types including vegetation, water, and deserts. Results also show that the TES

algorithm addresses the well-documented 3-5 K cold bias found in the heritage MOD11 products over arid and semi-arid regions due to an overestimation of emissivity for the barren land cover class (Malakar and Hulley 2016).

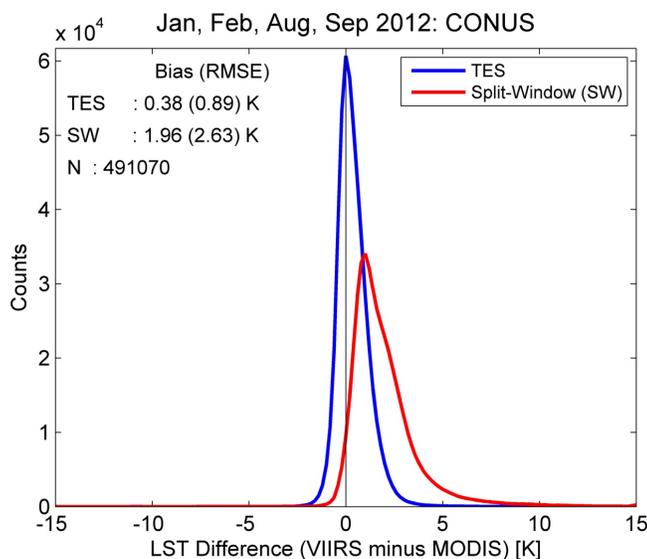


Figure 1. Histogram demonstrating the differences between VIIRS and MODIS LST products for all observations over continental USA (CONUS) during Jan, Feb, Aug, and Sep 2012 for two different algorithms: TES and split-window. The TES algorithm is currently used to produce the NASA LST&E products for MODIS and VIIRS (MOD21 and VNP21), while the split-window algorithm is used to produce the heritage MOD11 product(s) and the NOAA VIIRS LST product (VLSTO).

The VNP21 product will include a Level 2 (L2) swath (scene) product twice-daily (day/night) at 750 m resolution, and Level 3 (L3) gridded daily and eight-day gridded products in sinusoidal projections at 1 km resolution. The algorithms and data content of these LST products are briefly described in this guide with the purpose of providing a user with sufficient information about the content and structure of the data files to enable the user to access and use the data, in addition to understanding the quality control and uncertainties involved with the product. Overviews of the file format and sequence of VNP21 products are given first. Descriptions of the algorithm and product content are presented in subsequent sections. Publications and documents related to the VIIRS LST products are listed in the references section.

A description of the major components of the VNP21 algorithm implemented in the version 1 daily LST Product Generation Executive (PGE) code are shown in Table 1 and described in depth in the ATBD. A schematic detailing the flow of the VNP21 PGE within the LSIPS Science Data System is detailed in Figure 2. The primary purpose of this document is to supply a user with sufficient information about the content and structure of the data files so that the users will be able to access and use the data.

Table 1: Summary of the VNP21 LST&E product.

| Earth Science Data Type (ESDT) | Product Level | Data Dimension | Spatial Resolution | Temporal Resolution | Map Projection |
|--------------------------------|---------------|------------------------------------|--------------------|---------------------|-------------------------|
| VNP21 | L2 | 3232 lines by 3200 pixels per line | 750 m at nadir | Swath, Twice-daily | None, (lat, lon tagged) |
| VNP21A1D/ VNP21A1N | L3 | 1200 rows by 1200 columns | 1 km | Day and Night | Sinusoidal |
| VNP21A2 | L3 | 1200 rows by 1200 columns | 1 km | Eight day | Sinusoidal |

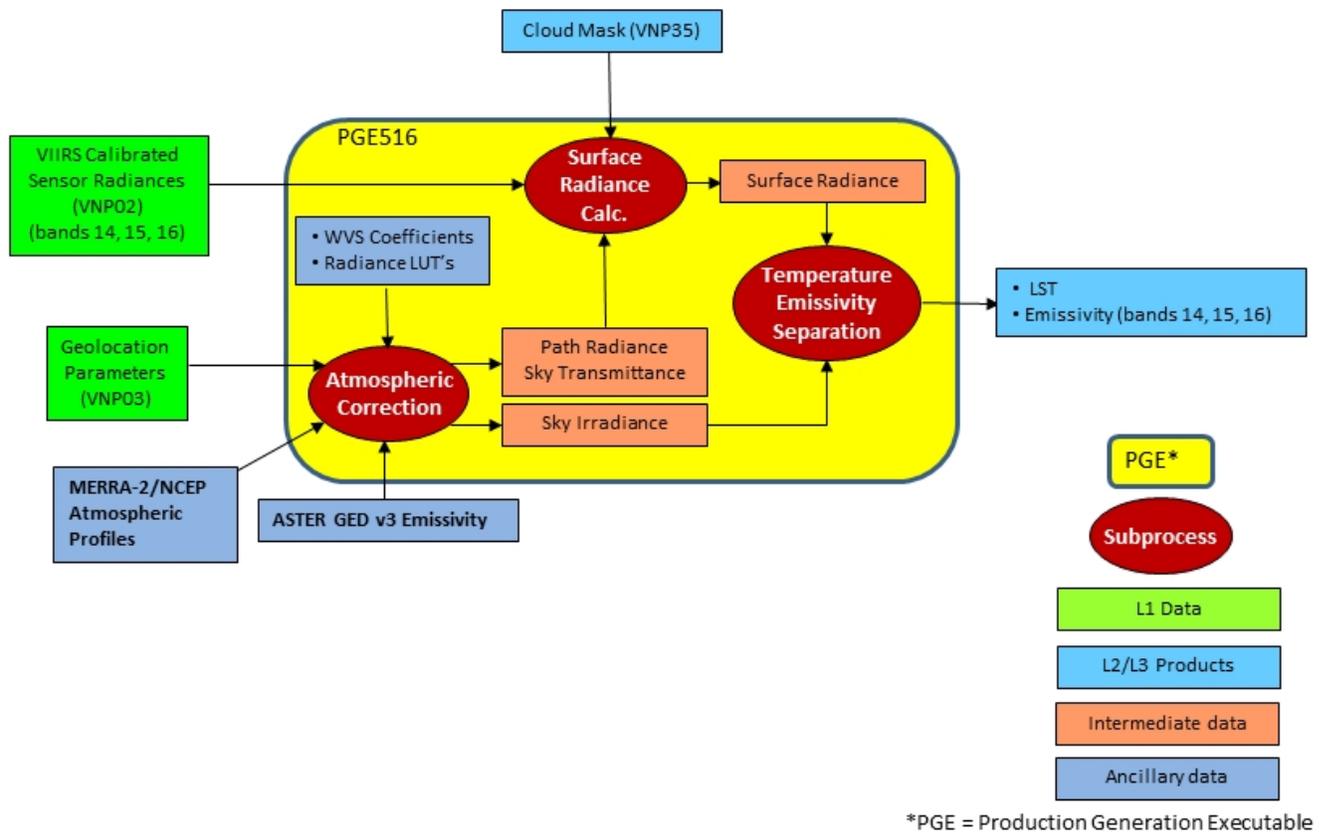


Figure 2. Schematic detailing the flow of the VNP21 PGE within the LSIPS Science Data System.

1.1 File Format of the LST&E Products

The VNP21 LST&E products are distributed in NetCDF4/HDF5 format compliant with NetCDF Climate and Forecast (CF) Metadata Conventions Version 1.6, and are readable by either NetCDF4 or HDF5 software. Information on NetCDF4.2 is available at www.unidata.ucar.edu/software/netcdf/docs/index.html, and information on Hierarchical Data Format 5 (HDF5) may be found at <https://www.hdfgroup.org/HDF5/>. The HDF format was developed by NCSA, and has been widely used in the scientific domain. HDF5 can store two primary types of objects: datasets and groups. A dataset is essentially a multidimensional array of data elements, and a group is a structure for organizing objects in an HDF5 file. HDF5 was designed to address some of the limitations of the HDF4. Using these two basic objects, one can create and store almost any kind of scientific data structure, such as images, arrays of vectors, and structured and unstructured grids. They can be mixed and matched in HDF5 files according to user needs. HDF5 does not limit the size of files or the size or number of objects in a file. The scientific data results are in the scientific data sets (SDSs) within the product file, along with local attributes including summary statistics and other information about the data.

The VNP21 LST&E data product files contain one set of attributes (metadata) describing information relevant to production, archiving, user services, input products, geolocation and analysis of data, as well as provenance and a Digital Object Identifier (DOI) for the product. The metadata attributes (listed in Table 4) are not described further in this user guide.

1.2 LST&E Products

The VNP21 LST&E C1 data consists of three different products from L2 to L3, these are; 1) L2 swath, 2) daily gridded sinusoidal tiles, and 3) 8-day mean gridded sinusoidal tiles. The L2 swath product will be released first from the LPDAAC followed by the tiled products at a later date to be determined by the LPDAAC/LAADS. The VIIRS swath has a nadir resolution of 750 m with 3232 pixels along track and 3200 pixels per line for each six minutes of the VIIRS scans. The VNP21 swath products are aggregated to produce the L3 global daily (VNP21A1) and 8-day mean (VNP21A2) products. Figure 3 shows an example of the LST and emissivity products for a granule over Northeast Africa. Table 1 also summarizes the products that will be available for VNP21 and their characteristics. The EOSDIS labels products as Earth Science Data Types (ESDTs). The ESDT label, or "shortname" VNP21, is used to identify the LST&E data products. Each LST&E product in the sequence is built from the previous products. These products are identified in part by EOSDIS product levels that indicate the amount of processing applied to the data.

Data product levels briefly described: Level 1B (L1B) is a swath (scene) of measured VIIRS radiance data geolocated to latitude and longitude centers of 750 m resolution pixels. An L2 product is a geophysical product retrieved from the L1B data that remains in latitude and longitude orientation; it has not been temporally or spatially manipulated. The next step produces a Level 2 gridded (L2G) product in a gridded format of the sinusoidal projection for VIIRS land products. At L2G the data products are referred to as tiles, each tile being 10° x 10° (~1113 km by 1113 km) in

sinusoidal global map projection. L2 data products are gridded into L2G tiles by mapping the L2 pixels into cells of a tile in the map projection grid. The L2G algorithm creates a gridded product necessary for the L3 products that have been temporally and/or spatially manipulated, usually in a gridded map projection.

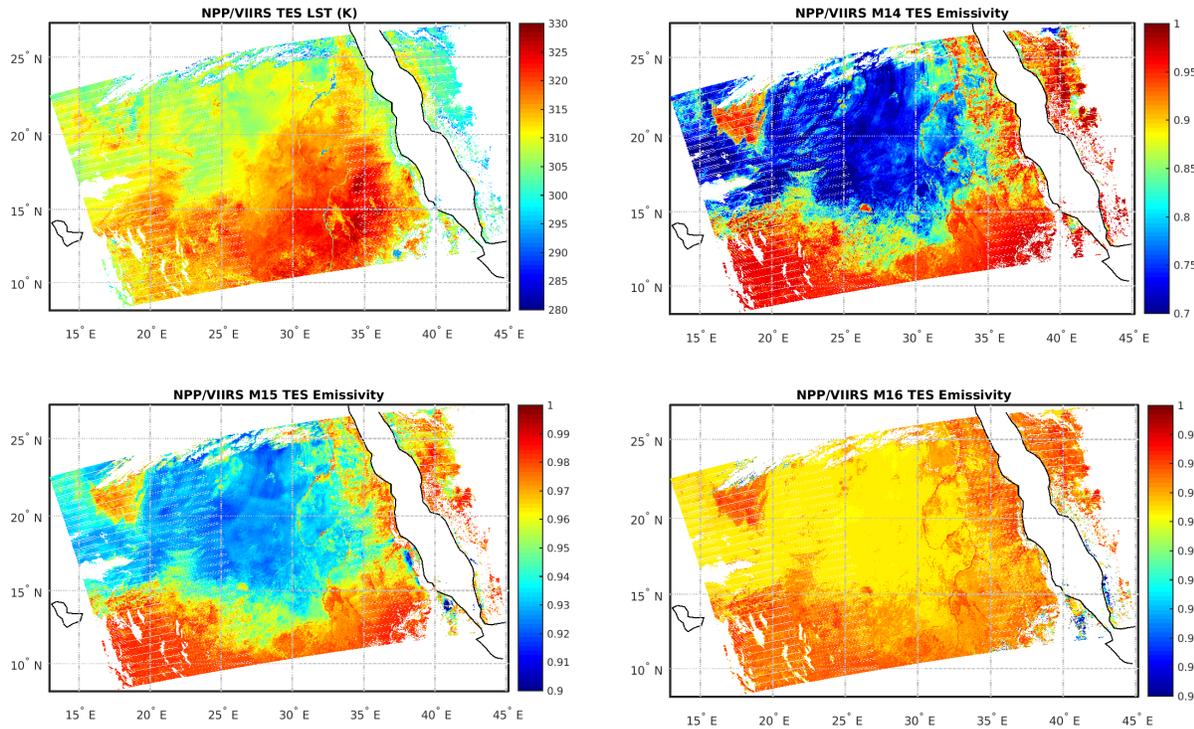


Figure 3. VNP21 Land Surface Temperature (LST) L2 swath (top left), band M14 (8.55 μm) emissivity (top right), band M15 (10.76 μm) emissivity (bottom left), and band M16 (12 μm) emissivity (bottom right) for a granule over northeast Africa on 13 January 2014.

The first product, VNP21, is the L2 swath LST product at 750 m spatial resolution. This product is generated from the TES algorithm (Hulley et al. 2012a). The next products, VNP21A1D and VNP21A1N, are tiles of the daily LST produced for Day and Night at 1 km spatial resolution. They are generated by mapping the pixels in the VNP21 products for the Day and Night overpasses to the Earth locations in the sinusoidal projection. The third product, VNP21A2, is an eight-day LST product created by averaging two to eight days of the VNP21A1D and VNP21A1N products using only good quality data pixels based on the QC information provided in the file.

2 VNP21 LST Product

2.1 Algorithm Description

For a full detailed description of each module within the algorithm please see the VNP21 ATBD (https://viirsland.gsfc.nasa.gov/PDF/VNP21_LSTE_ATBD_v2.1.pdf). The VNP21 product uses a

physical-based Temperature and Emissivity Separation (TES) algorithm to retrieve the Land Surface Temperature and Emissivity (LST&E) products (Gillespie et al. 1998; Hulley and Hook 2011). The atmospheric correction of the VIIRS thermal infrared (TIR) bands M14, M15 and M16 is performed using the RTTOV radiative transfer model (Matricardi 2008; Saunders et al. 1999) with input atmospheric profiles from Numerical Weather Prediction (NWP) model data. Standard processing will use data from the MERRA-2 NWP model (Rienecker et al. 2011), MERRA-2 is a reanalysis product produced by the NASA Global Modeling and Assimilation Office (GMAO) and typically have a data latency of 1-2 months delivery at the LSIPS. As a result, the PGE has an option to run operationally in near real time (NRT) mode using data from the NCEP Global Forecast System (GFS) available in near real time. VNP21 products retrieved from the NCEP data will be made available from the LAADS server in a 2-month rolling archive for use by NRT users, however, this data will be reprocessed with MERRA-2 once available, and distributed to the LDPAAC. NRT users should be aware that differences as large as 5 K could be found between the NRT version and the operational version of LST as a result of NCEP and MERRA-2 input, mostly due to differences in spatial resolution of the water vapor fields in the NCEP (~100 km) and MERRA-2 (~50 km) products during warm and humid conditions. Preliminary validation of 3 years of data with MERRA-2 and NCEP have shown that on average their accuracy is similar to within <1 K at the Lake Tahoe validation site, and to within <1% difference in emissivity over a set of sand dune validation sites in the US Southwest. More detailed information on MERRA-2 and NCEP and their characteristics and effects on LST retrieval are presented in the ATBD available here: (https://viirsland.gsfc.nasa.gov/PDF/VNP21_LSTE_ATBD_v2.1.pdf). Future plans are to use GEOS5-FP atmospheric fields from the GMAO for the atmospheric correction, which are available at a higher resolution (~25km) and in near real time for instrument and data product teams.

A Water Vapor Scaling (WVS) model is further employed to improve the atmospheric correction accuracy under conditions of heavy water vapor loadings on a pixel-by-pixel basis (Tonooka 2005). The WVS model reduces LST uncertainties from 3 K down to the 1 K level in difficult LST retrieval conditions, such as around clouds and in high humidity. The VNP21 product is produced globally over all land cover types for all cloud-free pixels, and includes LST and emissivity for the three VIIRS TIR bands 14, 15, and 16 at 750 m resolution. The product also includes a full set of uncertainty information, with estimated errors for LST and the emissivity fields generated from a LST&E uncertainty model (Hulley et al. 2012b). Figure 3 shows a schematic detailing the flow of the VNP21 PGE within the LSIPS, including the primary input datasets and subprocesses.

Similar to the heritage MOD21 product, the VNP21 LST&E retrieval in a VIIRS swath is constrained to pixels that:

- (1) Have nominal Level 1B radiance data in bands M14, M15, and M16
- (2) Are over land or inland water,
- (3) Are collected in clear-sky conditions (defined by the cloud mask product, VNP35) at a confidence of $\geq 95\%$ over land. Data inputs to the VNP21 LST algorithm are listed in Table 2. Clouds are masked with the VNP35 at $\geq 95\%$ confidence over land. The algorithm is only run over

land pixels, so masking of oceans is accomplished with the land/water mask within the VIIRS L1B product.

The ASTER Global Emissivity Database v3 (GED v3) emissivity product (Hulley et al. 2015) is used to assign the correct emissivity-dependent coefficients in the WVS model on a scene-by-scene basis. Details of this method are available in the VNP21 ATBD.

Table 2: This table describes the VNP21 LST&E product and other ancillary input data required to produce the product.

| Ancillary Data Set | Long Name | Data Used |
|--------------------|--|--|
| VNP02MOD | VIIRS Level-1B calibrated radiances | M14, M15, M16 |
| VNP03 | VIIRS Geolocation | Height Sensor and Solar Zenith Angles Latitude, Longitude |
| VNP35 | VIIRS Cloud Mask | Cloud mask, Ocean mask |
| ASTER GEDv3 | ASTER Global Emissivity Database v3 | Emis11 Emis13 Emis14 NDVI |
| MERRA-2 | Modern-Era Retrospective analysis for Research and Applications, Version 2 | Pressure Temperature Specific Humidity Surface Pressure |
| NCEP | National Centers for Environmental Prediction | Pressure Temperature Relative Humidity Surface Pressure |

2.2 Scientific Data Sets (SDS)

The VIIRS L2 LST&E product contains 15 scientific data sets (SDSs): LST, LST_err, QC, Emis_14, Emis_15, Emis_16, Emis_14_err, Emis_15_err, Emis_16_err, View_angle, Emis_ASTER, PWV, Oceanpix, Latitude and Longitude. All SDS data are output at 750 m pixels. The SDSs with *_err appended to the name are calculated using a LST&E uncertainty simulator and include the maximum total uncertainty for a specific pixel based on view angle, total water vapor, and land

cover type (Hulley et al. 2012b). Furthermore, a spatially and temporally interpolated Precipitable Water Vapor (PWV) estimate from MERRA-2 is included in the SDS as an indicator for the amount of water vapor present in the atmosphere, the primary driving factor for LST uncertainty. Details of each SDS including fill and scale factors are shown in Table 3.

Table 3. The SDSs in the VNP21 product.

| SDS | Long Name | Data type | Units | Valid Range | Fill Value | Scale Factor | Offset |
|-------------|---|-----------|---------------|-------------------------------------|------------|--------------|--------|
| LST | Land Surface Temperature | uint16 | K | 7500-65535 | 0 | 0.02 | 0.0 |
| QC | Quality control for LST and emissivity | uint16 | n/a | 0-65535 | n/a | 1 | 0 |
| Emis_14 | M14 emissivity | uint8 | n/a | 1-255 | 0 | 0.002 | 0.49 |
| Emis_15 | M15 emissivity | uint8 | n/a | 1-255 | 0 | 0.002 | 0.49 |
| Emis_16 | M16 emissivity | uint8 | n/a | 1-255 | 0 | 0.002 | 0.49 |
| LST_err | Land Surface Temperature error | uint8 | K | 1-255 | 0 | 0.04 | 0.0 |
| Emis_14_err | M14 emissivity error | uint16 | n/a | 1-65535 | 0 | 0.0001 | 0.0 |
| Emis_15_err | M15 emissivity error | uint16 | n/a | 1-65535 | 0 | 0.0001 | 0.0 |
| Emis_16_err | M16 emissivity error | uint16 | n/a | 1-65535 | 0 | 0.0001 | 0.0 |
| View_angle | VIIRS view angle for current pixel | uint8 | degrees | 0-180 | 255 | 0.5 | 0.0 |
| Emis_ASTER | ASTER GED Emissivity (minimum of 5 band values) | uint8 | n/a | 1-255 | 0 | 0.002 | 0.49 |
| PWV | Precipitable Water Vapor | uint16 | cm | 0-65535 | n/a | 0.001 | 0.0 |
| Oceanpix | Ocean-land mask | uint8 | n/a | 0=land 1=water 2=inland water | n/a | 1 | 0 |
| Latitude | Latitude data | float32 | degrees north | -90 to 90 | -999.0 | 1 | 0 |
| Longitude | Longitude data | float32 | degrees east | -180 to 180 | -999.0 | 1 | 0 |

2.3 Attributes

Archived with the SDS are attributes (metadata) describing characteristics of the data. Contents of these attributes were determined and written during generation of the product and are used in archiving and populating the EOSDIS database to support user services. They are stored as very

long character strings in parameter value language (PVL) format. Descriptions of the attributes are given here to assist the user in understanding them.

Examples include information compiled about the product during product generation, geographic location of swath, and production times. These data may be useful in determining what version of the algorithm was used to generate the product. The content of the full set of attributes with sample values for one swath are listed in Table 4. The user wanting detailed explanations of the attributes and related information should query the EOSDIS related web sites.

Table 4. Listing of objects in the attributes associated with the VNP21 product.

| Object Name | Sample Value | Comment |
|------------------------|--|-------------------|
| AlgorithmType | "NPP_OPS" | |
| Conventions | "CF-1.6" | |
| DayNightFlag | "Day" | Day, Night, Both |
| EastBoundingCoordinate | 36.8315 | Degrees Longitude |
| EndTime | "2015-09-20 00:00:00.000" | |
| GRingLatitude | -38.0443, -43.6647, -64.2115, -55.6749 | Degrees Latitude |
| GRingLongitude | -1.95001, 34.7509, 36.8315, -19.4949 | Degrees Longitude |
| InputPointer | "VNP02MOD.A2015262.2354.001.2016034205923.nc,VNP35_L2.A2015262.2354.001.2016326191640.hdf,VNP03MOD.A2015262.2354.001.2015337202805.nc" | Input file names |
| InstrumentShortname | "VIIRS" | |
| LSIPS_AlgorithmVersion | "NPP_PRLST 1.5.08.03" | |
| LUTs_used | "MERRA2_400.inst6_3d_ana_Np.20140113.nc4,wvs_coeff_npp_viirs.h5" | |
| LocalGranuleID | "VNP21.A2015262.2354.001.2016326225444.nc" | Output file name |
| LongName | "VIIRS/NPP Land Surface Temperature and Emissivity" | |
| NorthBoundingCoord | -38.0443 | Degrees Latitude |
| NWPSource | "MERRA2" | MERRA2 or NCEP |
| OrbitNumber | 20183 | |
| PGEVersion | 1.0.1 | |
| PGE_EndTime | "2015-09-20 00:00:00.000" | |
| PGE_Name | "PGE516" | |

| | | |
|-----------------------|--|--|
| PGE_StartTime | "2015-09-19 23:54:00.000" | |
| Platform_Short_Name | "NPP" | |
| ProcessVersion | "001" | |
| ProcessingEnvironment | "Linux minion9999 2.6.18-416.el5 #1 SMP Fri Oct 28 11:52:49 UTC 2016 x86_64 x86_64 x86_64 GNU/Linux" | |
| Product_authority | "http://dx.doi.org" | |
| Product_doi | "10.5067/VIIRS/VNP21.001" | |
| ProductionDateTime | "2016-11-21T22:57:26.823Z" | |
| RangeBeginningDate | "2015-09-19" | |
| RangeBeginningTime | "23:54:00.000" | |
| RangeEndingDate | "2015-09-20" | |
| RangeEndingTime | "00:00:00.000" | |
| SatelliteInstrument | "NPP_OPS" | |
| ShortName | "VNP21" | |
| SouthBoundingCoord | -64.2115 | Degrees Latitude |
| StartOrbitNumber | 20183 | |
| StartTime | "2015-09-19 23:54:00.000" | |
| StopOrbitNumber | 20183 | |
| StructMetadata.0 | "GROUP=SwathStructure GROUP=SWATH_1 SwathName="VIIRS_Swath_LSTE" GROUP=Dimension OBJECT=Dimension_1 DimensionName="Along_Track" Size=3232 END_OBJECT=Dimension_1 ... etc." | Metadata definition of the file structure conforming to HDF-EOS convention |
| WestBoundingCoord | -19.4949 | Degrees Longitude |
| cdm_data_type | "swath" | |
| creator_email | "modis-ops@lists.nasa.gov" | |
| creator_url | "http://ladsweb.nascom.nasa.gov" | |
| date_created | "2015-12-01T00:25:59.000Z" | |
| endDirection | "Descending" | Ascending or Descending |

| | | |
|------------------------|---|----------------------------|
| format_version | 2 | |
| gringpointsequence | 1, 57, 405614944, 0 | |
| history | "NPP_PR01.exe P1570826VIIRSSCIENCEAT15263034243301.PDS P1570011AAAAAAAAAAAAAAT15263034142601.P DS_pad P1570008AAAAAAAAAAAAAAT15263034132401.P DS_pad P1570000AAAAAAAAAAAAAAT15263034045801.P DS_pad 6 VNP01.A2015262.2354.001.2015335001026.nc" | |
| institution | "NASA Goddard Space Flight Center" | |
| instrument | "VIIRS" | |
| instrument_number | 2 | |
| keywords_vocabulary | "NASA Global Change Master Directory (GCMD) Science Keywords" | |
| license | "http://science.nasa.gov/earth-science/earth- science-data/data-information-policy/" | |
| naming_authority | "gov.nasa.gsfc.VIIRSIand" | |
| number_of_filled_scans | 202 | |
| processing_level | "L2" | |
| processing_version | "V1.0" | |
| product_name | "VNP21.A2015262.2354.001.2016251185033.nc" | |
| project | "VIIRS L2 Project" | |
| publisher_email | "modis-ops@lists.nasa.gov" | |
| publisher_name | "LAADS" | |
| publisher_url | "http://ladsweb.nascom.nasa.gov" | |
| startDirection | "Descending" | Ascending or Descending |
| stdname_vocabulary | "NetCDF Climate and Forecast (CF) Metadata Convention" | |
| time_coverage_end | "2015-09-20T00:00:00.000Z" | |
| time_coverage_start | "2015-09-19T23:54:00.000Z" | |
| title | "VIIRS Land Surface Temperature and Emissivity Data" | |

2.4 Quality Assurance (QA)

Indicators of quality are described exclusively in the quality control (QC) SDS, generated during production. In addition to data quality, the QC SDS provides information on algorithm metrics for each pixel (e.g. convergence statistics). The QC SDS unsigned 16-bit data are stored as bit flags in the SDS. This QC information can be extracted by reading the bits as 16-bit unsigned integer. The purpose of the QC SDS is to give the user information on algorithm results for each pixel that can be viewed in a spatial context. The QC information helps the user determine if algorithm results were nominal, abnormal, or if other defined conditions were encountered for a pixel. The QC information should be used to help determine the usefulness of the LST and Emissivity data for a user's needs. The bit flags in the QC SDS are listed in Table 5 and consist of flags related to data quality, cloud, TES algorithm diagnostics, and error estimates.

A value of 0 in the QC bit flags means good, cloud-free data quality and no further analysis of the QC bits is necessary. Users may use data of 'unreliable quality' (bits 1&0 = 01), but caution should be taken since any of the following are possible: the retrieved emissivity is suspect (emissivity in both longwave bands M14 and M15 < 0.95, indicating possible cloud), the pixel is within 2 pixels of nearby detected cloud, or the pixel had transmissivity less than 0.4, indicating possible cloud or high humidity, resulting in higher uncertainty in the TES retrieval. A value of 11 for bits 1&0 indicates that either the pixel was not produced because it is an ocean pixel, the L1B uncertainty index flag indicated poorly calibrated radiance data, or the TES algorithm failed to converge (usually due to undetected cloud, but rare).

Note: For potential undetected cloud contamination and cloud edge effects, the M15 emissivity band can be further examined for data quality, for example, values <0.9 are usually indicative of a cloud-contaminated pixel. However, the user should pay attention to surface type, since over mafic rocks (e.g. basalt flows near volcanoes) emissivity values are expected to be <0.9 in the longwave bands (M15, M16).

Table 5. Bit flags defined in the QC SDS in the VNP21 product. (Note: Bit 0 is the least significant bit).

| Bits | Long Name | Description |
|-------|--------------------|---|
| 1 & 0 | Mandatory QA flags | <p>00 = Pixel produced, best quality, no further QA info necessary</p> <p>01 = Pixel produced, nominal quality.</p> <p>Either one or more of the following conditions are met: emissivity in both bands M14 and M15 < 0.95, retrieval affected by nearby cloud, low transmissivity due to high water vapor loading (<0.4). Recommend more detailed analysis of other QC information</p> <p>10 = Pixel not produced due to cloud</p> <p>11 = Pixel not produced due to reasons other than cloud (e.g. ocean pixel, poorly calibrated input radiance, TES algorithm divergence flag, pixel-trim fill values, lunar intrusion)</p> |

| | | |
|---------|---|---|
| 3 & 2 | Data quality flag | 00 = Good data quality of L1B bands 01 = Missing pixel 10 = Fairly calibrated 11 = Poorly calibrated, TES processing skipped |
| 5 & 4 | Cloud flag | 00 = Cloud-free pixel 01 = Thin cirrus 10 = Pixel within 2 pixels of nearest cloud (~2km) 11 = Cloud pixel |
| 7 & 6 | TES Iterations (k) | 00 = ≥ 7 (Slow convergence) 01 = 6 (Nominal) 10 = 5 (Nominal) 11 = < 5 (Fast) |
| 9 & 8 | Atmospheric Opacity $L_{\lambda}^{\downarrow}/L'$ | 00 = ≥ 0.3 (Warm, humid air; or cold land) 01 = 0.2 - 0.3 (Nominal value) 10 = 0.1 - 0.2 (Nominal value) 11 = < 0.1 (Dry, or high altitude pixel) |
| 11 & 10 | Min-Max Difference (MMD). Difference between minimum and maximum emissivity for bands M14, M15, M16 | 00 = > 0.15 (Most silicate rocks) 01 = 0.1 - 0.15 (Rocks, sand, some soils) 10 = 0.03 - 0.1 (Mostly soils, mixed pixel) 11 = < 0.03 (Vegetation, snow, water, ice, some soils) |
| 13 & 12 | Emissivity accuracy | 00 = > 0.017 (Poor performance) 01 = 0.015 - 0.017 (Marginal performance) 10 = 0.013 - 0.015 (Good performance) 11 = < 0.013 (Excellent performance) |
| 15 & 14 | LST accuracy | 00 = > 2.5 K (Poor performance) 01 = 1.5 - 2.5 K (Marginal performance) 10 = 1 - 1.5 K (Good performance) 11 = < 1 K (Excellent performance) |

3 VNP21A1 Daily LST Product

The VNP21 level 3 LST&E day and night product at 1 km spatial resolution is a tile of daily LST&E product gridded in the sinusoidal projection. A tile contains 1200 x 1200 grids in 1200 rows and 1200 columns. The exact grid size at 1 km spatial resolution is 0.928km by 0.928km.

3.1 Algorithm Description

The daily VNP21A1D/VNP21A1N LST products are compiled from daily gridded L2G intermediate products (VNP21GD/VNP21GN). The L2G process maps the daily VNP21 granules onto a sinusoidal grid and stores all observations falling over a gridded cell for a given day. The total number of observations for a day are determined not only by the number of orbits passing over that cell but also by the spread of observations from off-nadir coverage.

The VNP21A1 algorithm sorts through all these observations for each cell and for a given day and estimates the final LST value as a weighted average over all observations that are cloud-free and have good LST and emissivity accuracies, weighted by the observation coverage for that cell. Only observations having observation coverage more than a certain threshold (15%) are considered for this averaging. This process is repeated for the day and night granules separately to create separate VNP21A1D (day) and VNP21A1N (night) products. The final quality byte for the output product reflects the lowest quality values from all observations that went into the final averaging.

3.2 Scientific Data Sets (SDS)

The SDSs in the VNP21A1D/VNP21A1N product are detailed in Table 6 and include:

- LST_1KM: Daily 1 km Land-surface temperature
- QC: Daily QA bytes for LST and emissivity.
- View_Angle: View zenith angle of LST
- View_Time: Time of LST observations
- Emis_14: Daily Band M14 emissivity
- Emis_15: Daily Band M15 emissivity
- Emis_16: Daily Band M16 emissivity

Table 6. The SDSs in the VNP21A1D/VNP21A1N product.

| SDS | Long Name | Data type | Units | Valid Range | Fill Value | Scale Factor | Offset |
|---------|--------------------------|-----------|-------|-------------|------------|--------------|--------|
| LST_1KM | Land Surface Temperature | uint16 | K | 7500-65535 | 0 | 0.02 | 0.0 |
| QC | Quality control | uint16 | n/a | 0-65535 | n/a | 1 | 0 |
| Emis_14 | Band M14 emissivity | uint8 | n/a | 1-255 | 0 | 0.002 | 0.49 |

| | | | | | | | |
|------------|---------------------------|-------|-----|-------|-----|-------|------|
| Emis_15 | Band M15 emissivity | uint8 | n/a | 1-255 | 0 | 0.002 | 0.49 |
| Emis_16 | Band M16 emissivity | uint8 | n/a | 1-255 | 0 | 0.002 | 0.49 |
| View_Angle | VIIRS view angle | uint8 | deg | 0-130 | 255 | 1 | -65 |
| View_Time | Time of VIIRS observation | uint8 | hrs | 0-240 | 255 | 0.1 | 0 |

3.3 Attributes

The attributes for SDS, LST_1KM will be similar to those in Table 4.

3.4 Quality Assurance

The bit flags defined for the quality assurance SDS QC are listed in Table 7.

Table 7. Bit flags defined in the QC SDS in the VNP21A1D/VNP21A1N product. (Note: Bit 0 is the least significant bit).

| Bits | Long Name | Description |
|-------|--------------------|--|
| 1 & 0 | Mandatory QA flags | 00 = Pixel produced, good quality, no further QA info necessary 01 = Pixel produced but unreliable quality. Either one or more of the following conditions are met: emissivity in both bands 14 and 15 < 0.95, retrieval affected by nearby cloud, low transmissivity due to high water vapor loading (<0.4), Recommend more detailed analysis of other QC information 10 = Pixel not produced due to cloud 11 = Pixel not produced due to reasons other than cloud |
| 3 & 2 | Data quality flag | 00 = Good data quality of L1B bands 14, 15, 16 01 = Missing pixel 10 = Fairly calibrated 11 = Poorly calibrated, TES processing skipped |
| 5 & 4 | Cloud Flag | 00 = Cloud-free 01 = Thin cirrus 10 = Pixel within 2 pixels of nearest cloud 11 = Cloudy pixels |

| | | |
|---------|---------------------|---|
| 7 & 6 | Iterations | 00 = Slow convergence 01 = Nominal 10 = Nominal 11 = Fast |
| 9 & 8 | Atmospheric Opacity | 00 = ≥ 3 (Warm, humid air; or cold land) 01 = 0.2 - 0.3 (Nominal value) 10 = 0.1 - 0.2 (Nominal value) 11 = < 0.1 (Dry, or high altitude pixel) |
| 11 & 10 | MMD | 00 = > 0.15 (Most silicate rocks) 01 = 0.1 - 0.15 (Rocks, sand, some soils) 10 = 0.03 - 0.1 (Mostly soils, mixed pixel) 11 = < 0.03 (Vegetation, snow, water, ice, some soils) |
| 13 & 12 | Emissivity accuracy | 00 = > 0.02 (Poor performance) 01 = 0.015 - 0.02 (Marginal performance) 10 = 0.01 - 0.015 (Good performance) 11 = < 0.01 (Excellent performance) |
| 15 & 14 | LST accuracy | 00 = > 2 K (Poor performance) 01 = 1.5 - 2 K (Marginal performance) 10 = 1 - 1.5 K (Good performance) 11 = < 1 K (Excellent performance) |

4 VNP21A2 Eight-day LST Product

An eight-day compositing period was chosen because double that period is the exact ground track repeat period of the S-NPP platform. LST over eight days is the averaged LSTs of the VNP21A1 product over eight days.

4.1 Algorithm Description

A simple average method is used in the current algorithm for the VNP21A2 product. The averaging is done for day and night separately for LST, QC, View angle and Viewing time, while for the band M14, M15, and M16 emissivities the averaging is done over both day and night. The averaging process includes only daily values that are cloud-free.

4.2 Scientific Data Sets (SDS)

In the VNP21A2 product, the day and night daily VNP21A1 products are combined into a single product, but it has different SDS layers for LST, QC, View angle and View time for day and for night respectively. The day and night specific SDSs in VNP21A2 are listed below and in Table 8.

- LST_Day_1KM
- QC_Day
- View_Angle_Day
- View_Time_Day
- LST_Night_1KM
- QC_Night
- View_Angle_Night
- View_Time_Night
- Emis_14
- Emis_15
- Emis_16

Table 8. The SDSs in the VNP21A2 product.

| SDS | Long Name | Data type | Units | Valid Range | Fill Value | Scale Factor | Offset |
|------------------|--------------------------------------|-----------|-------|-------------|------------|--------------|--------|
| LST_Day_1KM | Day Land Surface Temperature | uint16 | K | 7500-65535 | 0 | 0.02 | 0.0 |
| QC_Day | Day Quality control | uint8 | n/a | 1-255 | 0 | 1 | 0 |
| View_Angle_Day | Day view angle | uint8 | deg | 0-130 | 255 | 1 | -65 |
| View_Time_Day | Day time of observation | uint8 | hrs | 0-240 | 255 | 0.1 | 0 |
| LST_Night_1KM | Night Land Surface Temperature | uint16 | K | 7500-65535 | 0 | 0.02 | 0.0 |
| QC_Night | Night Quality control | uint8 | n/a | 1-255 | 0 | 1 | 0 |
| View_Angle_Night | Night view angle | uint8 | deg | 0-130 | 255 | 1 | -65 |
| View_Time_Night | Night time of observation | uint8 | hrs | 0-240 | 255 | 0.1 | 0 |
| Emis_14 | Average Day/Night Band 14 emissivity | uint8 | n/a | 1-255 | 0 | 0.002 | 0.49 |
| Emis_15 | Average Day/Night Band 15 emissivity | uint8 | n/a | 1-255 | 0 | 0.002 | 0.49 |
| Emis_16 | Average Day/Night Band 16 emissivity | uint8 | n/a | 1-255 | 0 | 0.002 | 0.49 |

4.3 Attributes

Similar to VNP21A1.

4.4 Quality Assurance

The bit flags defined for the quality assurance SDSs QC_Day and QC_Night are listed in Table 9.

Table 9. Bit flags defined in the QC_Day and QC_Night SDS in the VNP21A2 product. (Note: Bit 0 is the least significant bit).

| Bits | Long Name | Description |
|-------|---------------------|--|
| 1 & 0 | Mandatory QA flags | 00 = Pixel produced, good quality, no further QA info necessary 01 = Pixel produced but unreliable quality. Recommend more detailed analysis of other QC information 10 = Pixel not produced due to cloud 11 = Pixel not produced due to reasons other than cloud |
| 3 & 2 | Data quality flag | 00 = Good data quality of L1B bands 14, 15, 16 01 = Missing pixel 10 = Fairly calibrated 11 = Poorly calibrated, TES processing skipped |
| 5 & 4 | Emissivity accuracy | 00 = >0.02 (Poor performance) 01 = 0.015 - 0.02 (Marginal performance) 10 = 0.01 - 0.015 (Good performance) 11 = <0.01 (Excellent performance) |
| 7 & 6 | LST accuracy | 00 = >2 K (Poor performance) 01 = 1.5 - 2 K (Marginal performance) 10 = 1 - 1.5 K (Good performance) 11 = <1 K (Excellent performance) |

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